



Attorney Docket # 5367-141RCE2

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Tony ALBRECHT et al.

Serial No.: 09/824,086

Filed: April 2, 2001

For: Optically Pumped, Surface-emitting  
Semiconductor Laser Device and Method for the  
Manufacture Thereof

Examiner: LEUNG, Quyen Phan  
Group Art: 2828

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A.

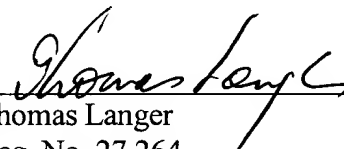
It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise

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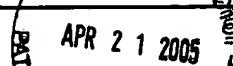
If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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Dated: April 21, 2005

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Substitution for Form 1449/PTO <div style="text-align: center;">  </div> <div style="text-align: center;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>          (Use as many sheets as necessary)       </div>		<div style="text-align: right;"><i><b>Complete if Known</b></i></div> <table border="1" style="width: 100%;"> <tr> <td>Application Number</td> <td>09/824,086</td> </tr> <tr> <td>Filing Date</td> <td>April 2, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Tony ALBRECHT</td> </tr> <tr> <td>Art Unit</td> <td>2828</td> </tr> <tr> <td>Examiner Name</td> <td>LEUNG, Quyen Phan</td> </tr> <tr> <td>Attorney Docket Number</td> <td>5367-141RCE2</td> </tr> </table>		Application Number	09/824,086	Filing Date	April 2, 2001	First Named Inventor	Tony ALBRECHT	Art Unit	2828	Examiner Name	LEUNG, Quyen Phan	Attorney Docket Number	5367-141RCE2
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Sheet	1	of	1												

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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ' Applicant's unique citation designation number (optional). ' See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. ' Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ' For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ' Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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